

Polyhedral Oligomeric Silsesquioxane (POSS) Based Resists: Material Design Challenges and Lithographic Evaluation at 157 nm

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In this paper we describe the lithographic behavior and related material properties of a new class of chemically amplified, positive tone, silicon-containing methacrylate photoresists incorporating the polyhedral oligomeric silsesquioxane (POSS) group as the etch-resistant component. POSS-bearing monomers were copolymerized with methacrylic acid (MA), *tert*-butyl methacrylate (TBMA), *tert*-butyl trifluoro methacrylate (TBTFMA), itaconic anhydride (IA), and 2-(trifluoromethyl) acrylic acid (TFMA), in various compositions. A perfluorooctylsulfonate-based photoacid generator (PAG) was used to deprotect TBMA (or TBTFMA) to base soluble carboxylic acid by heating after exposure. XPS and angular XPS analysis were used to examine possible surface segregation phenomena. It was proven that POSS surface enrichment occurs for the POSS–TBMA copolymers while surface segregation may be reduced if suitable additional resist components are selected. The POSS-based resists were studied for 157-nm lithographic applications and found to have high sensitivity (<10 mJ/cm² under open field exposure), no silicon outgassing, and sub-100-nm resolution capabilities. Ninety nanometer patterns in 100-nm thick films were resolved. At present, their absorbance is high (~4 μm⁻¹) for single-layer lithographic applications at 157 nm; however, high etch resistance in oxygen plasma makes them suitable for bilayer schemes.

1. Introduction

The research activity on new lithographic resist materials capable of meeting the constantly increasing performance demands posed by the International Technology Roadmap for Semiconductors for the next decade has greatly expanded during recent years.¹ Currently, different lithographic approaches are investigated for the fabrication of devices with sub-100-nm critical dimensions, including 193 nm, 157 nm, EUV (13 nm), and e-beam based technologies and it is not yet clear which approach will dominate in each of the future technology nodes.² In this context the research on new lithographic materials is also spread in quite a few directions and different classes of polymers are investigated as main components of the future resist systems.

In the case of 157-nm lithography, in particular, the problem of selecting polymers that can serve as the basis of the resist compositions is more severe due to the difficulty in finding organic materials with acceptable absorbance characteristics.^{3–5} Partially fluorinated materials are mostly considered as the resists of choice at

this wavelength, due to the high transparency of the C–F bond. Nevertheless, nonoptimized so far imaging and etch resistance properties have been reported.^{6–11}

On the other hand, siloxanes and silsesquioxane polymers can offer an alternative route since Si–O bonds are also quite transparent at 157 nm. In addition, these materials can also be used as thin imaging layers in bilayer schemes that would relax to some degree the transparency demands.^{12–14} Although undesirable chemi-

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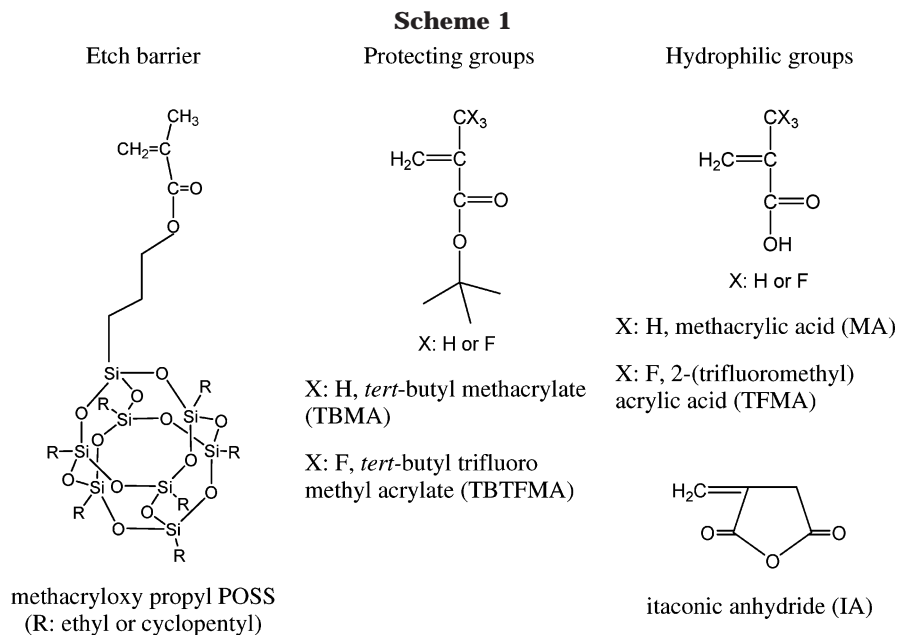
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cal routes leading to bond breaking and formation of fragments (outgassing) that could cause problems with the components of the optical systems have been well-recognized in Si-containing polymers, recent reports show that this issue is rather addressed by using siloxanes and silsesquioxanes as opposed to polymers with Si-alkyl pendant groups.^{15,16} Finally, the development of suitable siloxane or silsesquioxane resists can be of use not only for 157-nm lithography but also for 193-nm, EUV, and probably other next generation lithographic regimes.^{17,18}

In the above context, efforts have recently been launched by our and other groups for examining a related class of materials that contain polyhedral silsesquioxane moieties (cages) and are provided also in the form of polymerizable acrylate monomers (Scheme 1).^{19–22} Polyhedral oligomeric silsesquioxanes (POSS) represent a relatively new class of well-defined materials that consist of a silicon-based inorganic cage (Si₈O₁₂) surrounded by eight organic corner groups. POSS-containing molecules have recently received a considerable amount of interest for applications in catalysis, modeling of silica surfaces and interfaces, as precursors to silicates and as polymerizable reagents.^{23–25}

Especially in the case of polymerization, POSS monomers are capable of imparting desirable properties to common classes of polymeric materials. In a typical POSS-polymer, represented by the formula P₁R₇Si₈O₁₂, a variety of inert substituents, R, can be attached at the seven corner positions around the cage, while the remaining position is occupied by a reactive polymerizable group, P. So far, the POSS molecules have been successfully incorporated into styryls, acrylics, liquid-crystalline polyesters, siloxanes, polyamides, etc.

The cage type possesses a precisely defined structure (monodispersed silsesquioxane components) whereas our experience with ladder silsesquioxanes is that the commercially available starting materials are unreliable because their actual molecular formulas are unknown.²⁶ Moreover, cage structures are free from remaining silanol bonds Si–OH that are common in commercial ladder polymers and could cause condensation reactions, resulting in limited shelf life as well as negative tone chemistry.

In the present paper we report our group work on the capabilities POSS monomers offer for high resolution lithography. In the case of 157-nm lithography, the use of thin films has been employed in order to meet the low absorbance criterion and achieve high-resolution imaging.^{3,27} Incorporating the POSS cage as a pendant group in the traditionally used methacrylate platform presents the advantage of enhanced etch resistance and the possibility of employing the bilayer scheme. Nevertheless, several requirements such as low absorbance, adhesion, controlled dissolution behavior, aqueous base development, and high-resolution imaging remain to be satisfied. The incorporation of an inert bulky hydrophobic silicon group is likely to complicate resist design even further as it impacts resist physicochemical properties. Furthermore, POSS polymers were reported to form self-assembled molecular aggregates.^{28,29} Therefore, the minimization of the aforementioned potential problems

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toward the design of POSS materials suitable for lithographic applications presents a great challenge.

2. Experimental Section

2.1. Materials. POSS monomers were copolymerized with other monomers, such as methacrylic acid (MA), *tert*-butyl methacrylate (TBMA), *tert*-butyl trifluoro methyl acrylate (TBTFMA), itaconic anhydride (IA), and 2-(trifluoromethyl) acrylic acid (TFMA), in various compositions (Scheme 1). ¹H NMR spectra were recorded on a Bruker 200-MHz instrument with CDCl₃ as the solvent, at 25 °C. ¹³C NMR analysis of polymers was performed at room temperature in CDCl₃ or DMF-*d*₇, in an inverse-gated 1H-decoupled mode on a Bruker AF 250 (62.9 MHz) spectrometer. In all cases characteristic resonances were clearly present at $\delta = 3.75$ ppm ($-CH_2CH_2-$ cage) and $\delta = 0.6$ ppm (methylene protons adjacent to the POSS cage). Since the polymerizations were carried to near completion (>90% yields), the ratios of the starting monomers in the copolymers are similar to the feed ratios and compositional homogeneity is expected.^{30–32} Note that the electron-deficient monomers IA, TFMA, and TBTFMA do not undergo homopolymerization under conventional (AIBN-initiated) radical conditions.^{33,34} They were copolymerized with electron-acceptor monomers such as TBMA, forming random copolymers.

In a typical experiment 0.01 g of AIBN and 10 g of the monomers were dissolved in 30 g of dry oxygen-free tetrahydrofuran (THF). The reaction mixture was then placed in an oil bath (70 °C) for 48 h. Each polymer was isolated after precipitation in methanol. The precipitant was dissolved in THF, and the procedure was repeated twice. Finally, the copolymers were dried under vacuum (50 °C) for 2 days. Gel-permeation chromatography (GPC) analyses were carried out on a Waters Breeze1515 series liquid chromatograph with a differential refractometer (Waters 2410) as a detector.

2.2. Physicochemical Characterization. Absorption spectra in the VUV were recorded with a J.A. Woollam VUV variable angle spectroscopic ellipsometer (VASE) VU301 and/or a SOPRA GES5-PUV spectroscopic ellipsometers. Modulated differential scanning calorimetry (MDSC) measurements were carried out with a DSC 2920 (TA Instruments) at a heating rate of 10 °C/min. The surface hydrophobicity was estimated by the contact angle formed by a deionized water (Millipore Milli-Qplus) droplet placed on the film surface, using Digidrop DGW-EWS equipment. A Perkin-Elmer Paragon Identity Check FT-NIR spectrophotometer equipped with a deuterated diglycerine sulfate detector was used to collect FT-IR spectra with resolution of 4 cm⁻¹ at 64 scans. Samples were prepared on single-polished silicon substrates. The FT-IR measurements were made in transmittance mode both for thin (150 nm) and thicker (0.5–1 μ m) films. The surface chemical structure of POSS copolymers has been analyzed by X-ray photoelectron spectroscopy (XPS). The Axis Ultra analyzer from Kratos analytical was used with spectral resolution of about 0.4 eV (fwhm).

2.3. Lithographic Processing. Solutions (4–6% w/w) of all polymers were prepared with either methyl isobutyl ketone (MIBK) or propylene glycol methyl ether (PGME). The photoacid generator (PAG) used in resist formulations was triph-

enylsulfonium perfluorooctylsulfonate. Dissolution was monitored by an in-house-constructed DRM setup equipped with a laser emitting at a wavelength of 650 nm (angle of incidence $\alpha \sim 5^\circ$).³⁵ For low resolution near UV and deep UV exposures, a Hg–Xe lamp was used, equipped with the appropriate filters; 157-nm exposures were performed at International Sematech (Austin, TX) on an Exitech microstepper (NA = 0.6, $\sigma = 0.3$) using alternating phase-shift masks.

2.4. Oxygen Plasma Etching Studies. Thin films (typical thickness: 150 nm) of POSS copolymers were spin-cast on top of 350-nm-thick hard baked novolac. Etch resistance studies of the films were performed in an inductively coupled plasma (ICP) etcher (MET) from Alcatel (composed of a cylindrical alumina source and a diffusion chamber). The temperature of the sample was controlled at 15 °C by mechanical clamping and helium backside cooling. The etching conditions were as follows: oxygen plasma (100 sccm, 10 mTorr), source power (600 W), and bias voltage (–100 V). For comparison purposes etch resistance studies were also performed in a reactor with a similar source in Nantes. The temperature was controlled at 15 °C by using a cryostat (HUBERT unistat 385) and helium circulation. The samples were etched under 10 mTorr, 800 W, and –100 V (flow rate: 40 sccm). In both systems etching rates were monitored in situ with laser interferometry and real-time spectroscopic ellipsometry (Woolam M88 system). Surface roughness was measured before and after etching in contact mode, with a Topometrics TMX 2000 atomic force microscope (AFM). The total etching time for the surface roughness measurements was equal to the etch time for the novolac end point plus 20% overetching. The total time of etching for etch rate and selectivity measurements (novolac underlayer/resist) was as high as 900% overetching.

3. Results and Discussion

3.1. Material Properties. *3.1.1. Physical Properties of POSS Copolymers.* Our motivation is to synthesize a photoresist polymer containing polyhedral silsesquioxanes. The monomers used are shown in Scheme 1. In this section we describe the various polymers synthesized and their physical properties. Each monomer employed in the acrylate platform serves a specific reason. Methacryloxy propyl POSS is used as the etch barrier imparting the required etch resistance. TBMA or TBTFMA plays the role of the protecting group which undergoes the acid-catalyzed deprotection reaction (see section 3.2.1) while hydrophilic groups such as MA or IA provide good adhesion to the substrate and increase the aqueous base solubility (dissolution promoters). The solubility of these monomers in common organic solvents (MIBK, PGME) ensures a facile spin coating, providing regular and planar surfaces.

To study the effect of the alkyl substituents on the POSS cage, we designed a methacrylate platform with either cyclopentyl-POSS (cp-POSS) or ethyl-POSS (e-POSS) as the pendant groups. On the basis of transparency, compatibility with the polymer matrix, etch resistance, surface roughness after plasma treatment, and development issues, we chose to explore further the e-POSS platforms (see section 3.2.2 and ref 22). The absorbance coefficient values of the e- and cp-POSS containing methacrylate homopolymers at 157 nm were determined to be 3.1 and 7.6 μm^{-1} , respectively (Table 1). For the present e-POSS based copolymer compositions the absorbance is considerably high for single-layer lithographic applications; that is, the imaged copolymers

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Table 1. Physical Properties of Synthesized POSS-Containing Copolymers

copolymer composition (% w/w)	a (μm^{-1}) @157 nm	a (μm^{-1}) @193 nm	T_g ($^{\circ}\text{C}$)	contact angle (deg)
20 cp-POSS/80 TBMA			114	92
100 cp-POSS	7.6	4.55	350	116
20 e-POSS/80 TBMA	4.4	0.14	114	101
40 e-POSS/60 TBMA	4.0	0.42	145	100
60 e-POSS/40 TBMA	3.5	0.99		101
30 e-POSS/40 TBMA/20 MA/10 IA ^a (resist AC-POSS-2-69)	5.2	0.67	142	96
30 e-POSS/40 TBMA/10 MA/20 IA ^a (resist AC-POSS-2-71)	5.6	0.85		91
40 e-POSS/40 TBMA/20 MA ^a	5.0	0.65	153	
30 e-POSS/50 TBMA/20 MA	4.9	0.20	147	90
30 e-POSS/60 TBMA/10 MA	4.8	0.14	123	
100 e-POSS	3.1	0.17	251	101
30 e-POSS/40 TBMA/30 TFMA ^a (resist AC-POSS-2-83)	4.0		132	94
100 TBMA	6.5		100	86

^a These materials were loaded with 5% w/w PAG.

have absorbance values in the range 4.5–5.5 μm^{-1} . Nevertheless, the absorbance value can be decreased to 4.0 μm^{-1} by 30% fluorination.

The glass transition temperature (T_g) of the resist formulations containing polyhedral silsesquioxanes ranges from 110 to 160 $^{\circ}\text{C}$ for the materials tested (Table 1). Increase of the polyhedral silsesquioxane component increases the T_g of the material.

Copolymers with optimized monomer composition were used for the formulation of a series of resist formulations, namely, AC-POSS resists (ACrylate POSS, see Table 1), which showed limited outgassing. The test methods applied to evaluate the outgassing probability were the film thickness loss test and the CaF_2 proof-plate test.³⁶ For exposure doses up to ~ 30 mJ/cm^2 , < 2.5 -nm loss occurred, while no silicon was detected on a CaF_2 proof-plate as was verified by XPS analysis for all AC-POSS resists.

All synthesized e-POSS copolymers are hydrophobic, independently of the POSS content. The water contact angle was measured to be in the range from 90 $^{\circ}$ to 101 $^{\circ}$ for POSS contents 20–100% w/w (Table 1). In addition, no significant difference in the contact angle was measured after the TBMA deprotection.

3.1.2. XPS Analysis of POSS Copolymer-Based Films.

3.1.2.1. Motivation. Some of the resist formulations based on e-POSS ($\text{C}_{28}\text{H}_{46}\text{O}_{14}\text{Si}_8$) containing copolymers have presented unusually inhomogeneous wet development. For these specific resist formulations, the dissolution rate is not constant: faster dissolution takes place initially while, as film thickness decreases, slower dissolution appears. In some materials, a pronounced delay step is also present during the last period of oscillation of the DRM signal. Typical DRM signals of two POSS copolymer-based resists with optimized (signal A) and nonoptimized (signal B) development processes are shown in Figure 1. Signal B is a representative signal of a resist material showing the aforementioned problems. We suspected that development problems could be due to surface segregation (similar to what has been observed for random fluorine-containing copolymers³⁷) or even self-organization phenomena.^{27,28} To clarify whether surface segregation was present, we used XPS analysis.³⁸

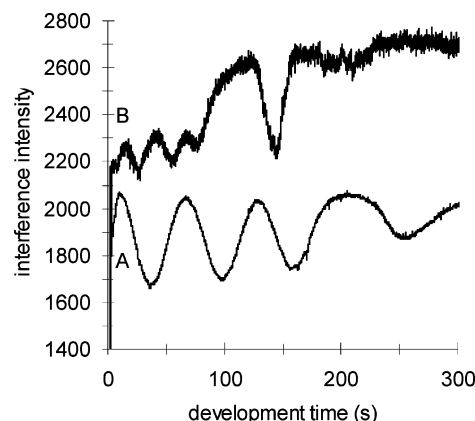


Figure 1. Typical DRM signals of two POSS-based resists with optimized (signal A, AC-POSS-2-71) and nonoptimized (signal B, AC-POSS-2-69) development processes.

3.1.2.2. XPS Results. XPS analysis gives the surface chemical composition (XPS is a surface analysis technique and the maximum depth probed is about 10 nm). If POSS cages reside preferentially on the surface, the surface chemical composition measured by XPS should be different from the bulk chemical composition.

Carbon (C 1s), oxygen (O 1s), and silicon (Si 2p) XPS peaks have been recorded and analyzed. The whole surface of each of the three peaks has been employed to calculate the relative surface atomic percentages (carbon, oxygen, and silicon). The carbon peak deconvolution has been used to quantify the Si–C bond percentage and the oxygen peak deconvolution has been used to determine the Si–O bond percentage in the material. The C=O bond is well-resolved by the XPS analyzer and its measured percentage can be easily determined from the C 1s peak. If POSS groups reside preferentially on the surface, XPS analysis should indicate a higher percentage of Si–C and Si–O bond than expected from the chemical structure of the copolymer without surface segregation. Si atomic percentage should also be higher than expected. Furthermore, the C=O bond should decrease in percentage.

Table 2 presents XPS results for different copolymers. Differences between measured percentages (“meas”) and expected ones (“th”), divided by expected ones, are given in percent ($100 \times [\%_{\text{meas}} - \%_{\text{th}}]/\%_{\text{th}}$). Such a representa-

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Table 2. XPS Analysis of POSS-Containing Copolymers^a

copolymer composition (theoretical and confirmed by NMR) POSS/TBMA/MA/IA/TFMA (% w/w)	100/0/0/0/0	60/40/0/0/0	40/60/0/0/0	20/80/0/0/0	30/50/20/0/0	30/60/10/0/0	30/40/10/20/0	30/40/0/0/30
Si (%) (from C, O, and Si peaks)	4.8 (0.9)	64.0 (6.6)	95.5 (6.3)	197.0 (6.3)	85.5 (4.1)	73.0 (3.5)	83.5 (4.0)	96.0 (4.8)
C–Si bond (%) (from C peak)	–2.5 (–0.5)	58.5 (5.9)	82.5 (8.0)	212.5 (6.8)	87.5 (4.2)	87.5 (4.2)	37.5 (1.8)	98.0 (4.9)
O–Si bond (%) (from O peak)	–7.0 (–2.0)	44.0 (6.8)	92.0 (9.0)	162.0 (7.6)	85.0 (6.2)	97.5 (7.1)	92.0 (6.6)	90.0 (6.7)
C=O bond (%) (from C peak)	–17.5 (–0.4)	–52.0 (–3.0)	–51.0 (–3.7)	–35.5 (–3.1)	–37.0 (–3.4)	–34.5 (–3.0)	–30.5 (–2.2)	–37.5 (–3.1)

^a The Si atomic and the C–Si, O–Si, and C=O bond relative differences ($100 \times [\%_{\text{meas}} - \%_{\text{th}}]/\%_{\text{th}}$) are shown. Also in parentheses the absolute differences ($\%_{\text{meas}} - \%_{\text{th}}$) are given.

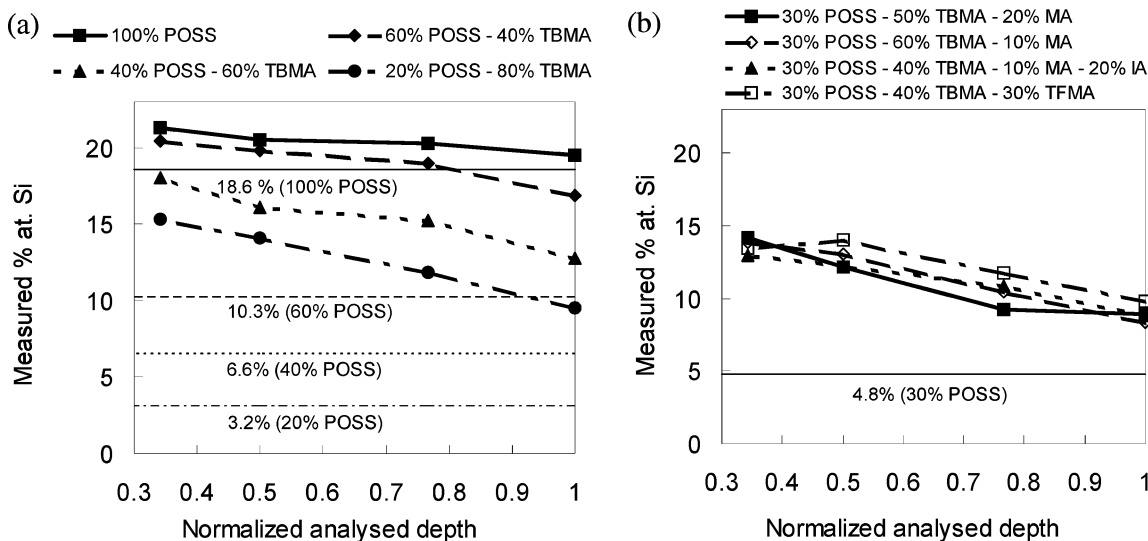


Figure 2. Angular XPS measurements: the silicon content versus the analysis angle. Also indicated on this graph by horizontal lines, theoretical percentages for materials without surface segregation.

tion gives the relative importance of the surface segregation phenomena for the different copolymers. If no surface segregation is present, the surface composition obtained by XPS should be equal to the bulk composition. High positive values for Si, C–Si, and O–Si together with a high negative value for C=O denote strong surface segregation phenomena. For purposes of completeness, the values in parentheses also provide the absolute differences ($\%_{\text{meas}} - \%_{\text{th}}$).

The second column of the table presents measurements for the e-POSS homopolymer. No strong surface segregation is expected since in this case the polymer contains only POSS moieties. Therefore, all differences in the second column should be zero. Clearly, these differences do not exceed 10% (except for C=O bond percentage, 17.5%). Moreover, a positive value for the Si percentage together with negative values for C–Si and O–Si bond percentages are observed. Surface segregation should lead to positive values for Si percentage as well as for C–Si and O–Si bond percentages. Hence, results for the e-POSS homopolymer do not evidence strong surface segregation phenomena. Furthermore, the intense deviation of the C=O bond to negative values (–17.5%) is attributed to the orientation of the cage group to the film surface and the consequent encryption of the C=O bond from the free surface. On the contrary, for POSS-containing copolymers, XPS

measurements point out surface segregation of POSS groups. For instance, for those consisting of 20% w/w e-POSS and 80% w/w TBMA (column 5 in Table II), the increase of the silicon content with respect to a material without surface segregation is about 200%, and the C–Si and O–Si bond percentages exceed the expected ones by more than 150%. The C=O bond presents a decrease of about 35%. Therefore, this material, as well as other POSS-containing copolymers, shows strong surface segregation effects. Moreover, for the members of the homologous series of POSS-TBMA copolymers, surface segregation phenomena increase with decreasing POSS percentage (columns 2–5). Incorporation of an additional hydrophilic monomer (whether MA, IA, or TFMA) seems to slightly decrease surface segregation. Actually, a 30% POSS containing polymer with at least three monomers (i.e., columns 6–8) presents suppressed surface segregation phenomena compared to the 40% POSS containing copolymer (column 4).

Angular XPS measurements have also been employed in order to verify further the hypothesis of surface segregation. When the analysis angle increases, the depth probed by XPS decreases. Angle equal to 0° (analyzer perpendicular to the surface) gives the maximum probed depth, D ($5 < D < 10$ nm). If the angle is equal to 60°, the probed depth is $D \cos 60^\circ = D/2$ (40° corresponds to $0.77D$ probed depth and 70° to $0.34D$).

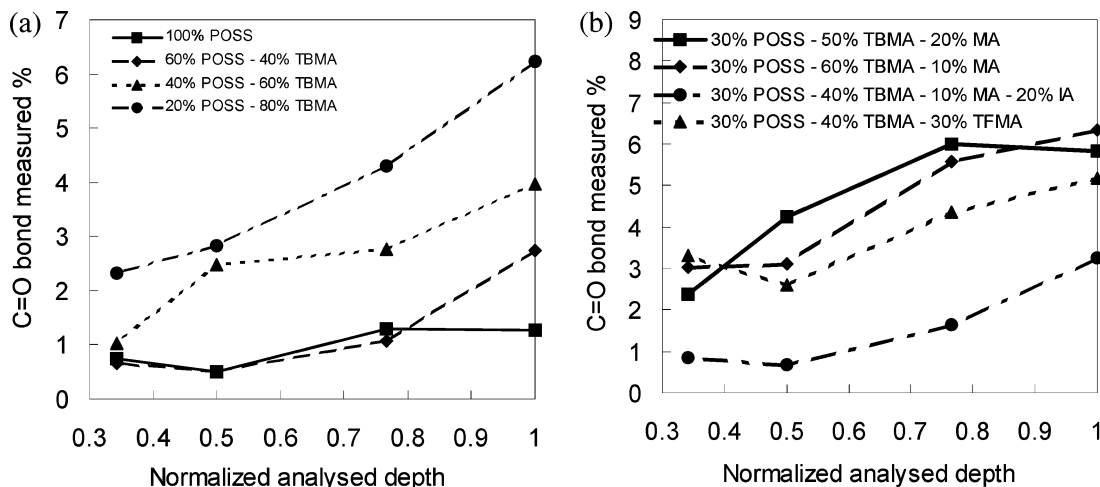


Figure 3. Angular XPS measurements: The C=O bond percentage versus the analysis angle.

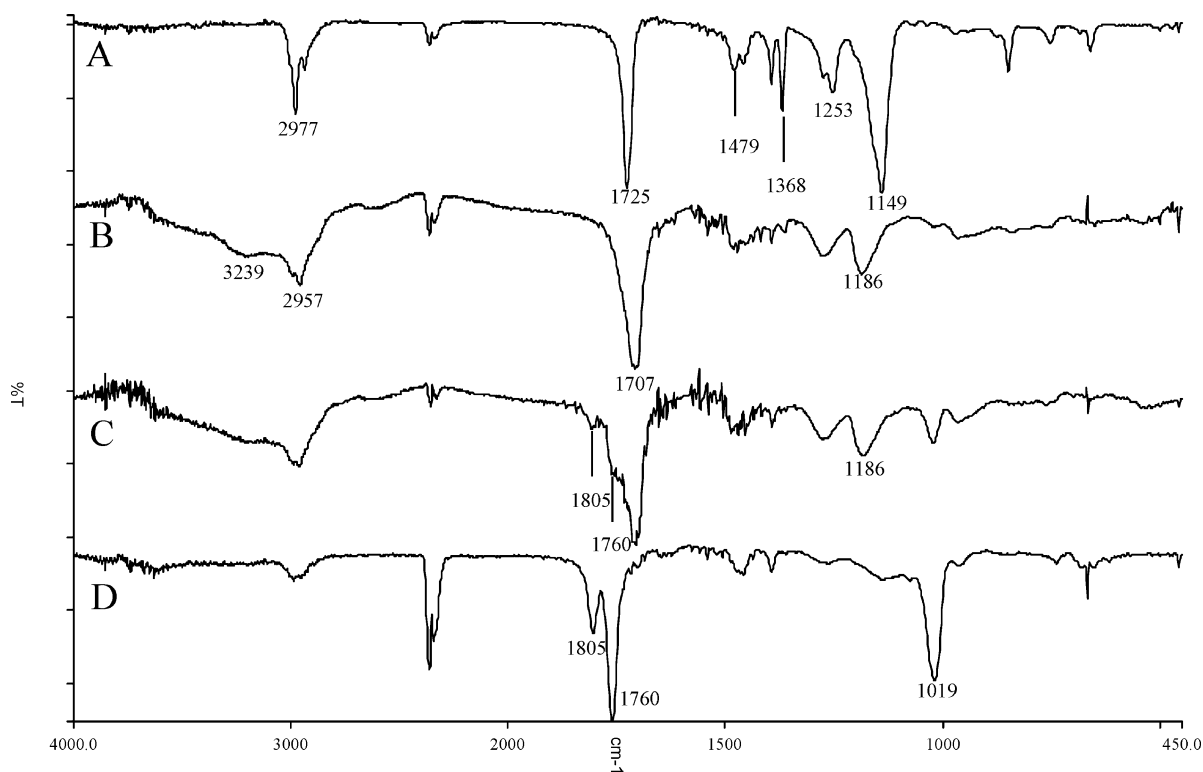


Figure 4. FT-IR spectra of PTBMA films after various processing conditions. (A) No exposure; (B) exposure dose: 203 mJ/cm², PEB: 90 °C; (C) exposure dose: 203 mJ/cm², PEB: 150 °C; (D) exposure dose: 203 mJ/cm², PEB: 225 °C.

Thus, high analysis angles probe low sampling depths. Since XPS analysis has shown that the POSS groups reside preferentially on the surface, it is expected that, as the analysis angle decreases, that is, as we move from low probed depths ("surface" of the polymer film) to the maximum probed depth ("bulk"), the Si percentage decreases and the C=O bond percentage increases (Figures 2 and 3). For example, this is the case for the copolymer comprising 20% w/w e-POSS and 80% w/w TBMA, while for the POSS-based terpolymers the Si content decrease and the C=O bond percentage increase seem less pronounced (Figures 2b and 3b). Notice that in both plots even for the e-POSS homopolymer (100% POSS) there is a slight slope of the curve.

The values shown in Table 2 and the angular measurements shown in Figures 2 and 3 seem to indicate reduced surface segregation for terpolymers (POSS/

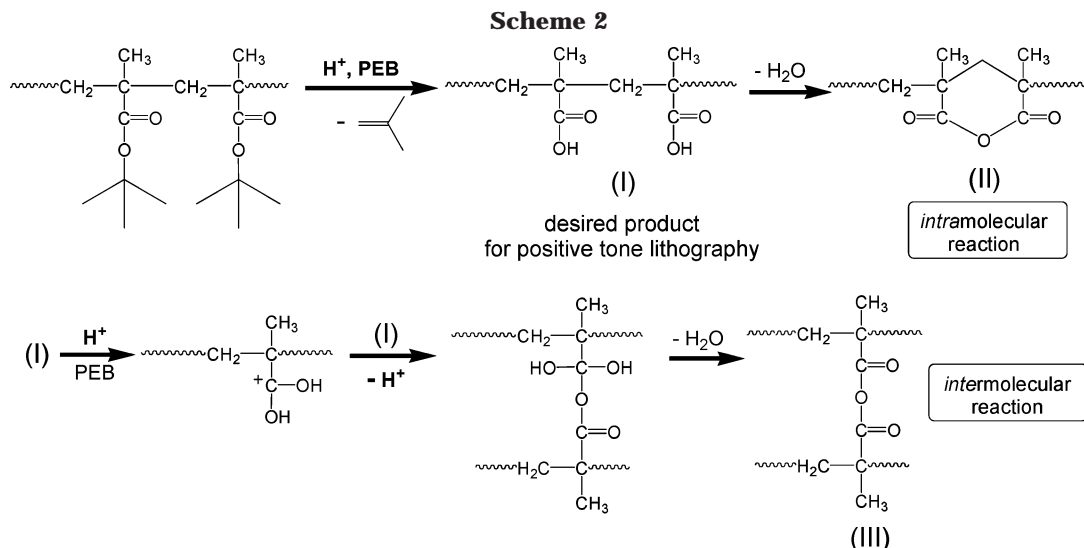
TBMA/MA or TFMA) or tetra polymers (POSS/TBMA/MA/IA). A smooth development process for the tetra polymers containing IA has been observed while development difficulties have been encountered for terpolymers containing 20% MA. The appropriate polymer design can lead to a lithographically useful material; however, at present, correlations between development difficulties and surface segregation have not been clearly established.

3.2. Lithographic Imaging and Etching Studies.

Having studied the physical properties, we proceed to examine the chemical reactions during (a) imaging and (b) oxygen plasma etching.

3.2.1. Acid-Catalyzed Imaging in POSS Copolymers.

As was proven by XPS analysis, the presence of POSS groups in the studied copolymers induces surface segregation phenomena. This could affect the course of the



photogenerated acid-induced deprotection reaction that takes place after the PEB step. For monitoring the deprotection reaction in POSS containing copolymers, FT-IR spectra were recorded. Pure PTBMA was used as a reference. Both materials were loaded with photo acid generators (5% w/w triphenylsulfonium perfluorooctylsulfonate for the POSS containing copolymer and 10% w/w triphenylsulfonium hexafluoroantimonate for PTBMA). Processing conditions inducing similar or stronger chemical effects were chosen for PTBMA to ensure an accurate peak determination.

First, the reference material spectra are studied. In Figure 4 the spectra of PTBMA for bake conditions similar to the ones used for POSS containing copolymers are shown. In spectra B, C, and D extremely high exposure dose (203 mJ/cm²) was used. Spectrum A

corresponds to a PTBMA film after the prebake step (150 °C, 2 min). The peak at 1725 cm⁻¹ is assigned to the C=O bond of the ester group. In spectrum B the ester group has undergone the deprotection reaction, producing the corresponding carboxylic acid (product I in Scheme 2). Thus, the C=O peak has moved to 1707 cm⁻¹. Notice also the broad peak at approximately 3200 cm⁻¹ attributed to the O-H stretch vibration of the acid. In spectrum C the PEB temperature is higher than spectrum B. As is evident in the spectrum, the deprotection reaction proceeds to the formation of the carboxylic acid as the main product while anhydrides (peaks at 1760 and 1805 cm⁻¹) start to form in an inter- or an intramolecular fashion (Scheme 2). Finally, spectrum D corresponding to the highest PEB temperature (225 °C) shows a complete disappearance of the C=O

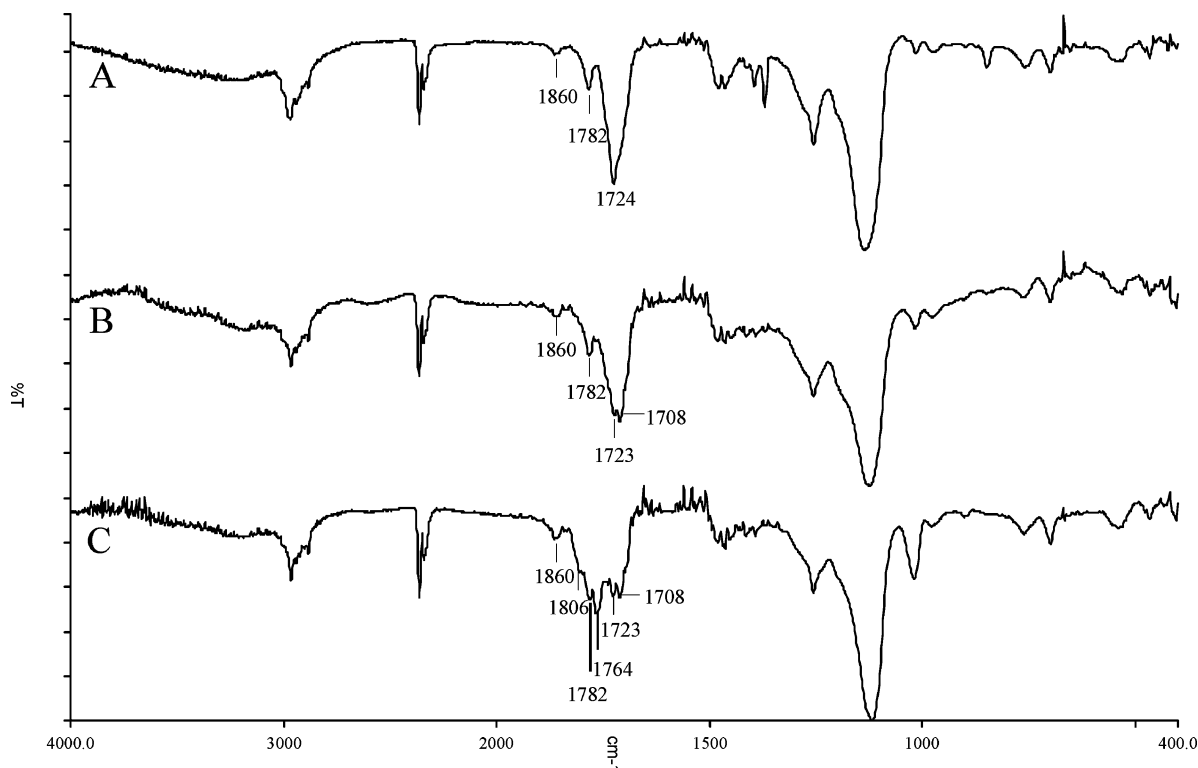


Figure 5. FT-IR spectra of AC-POSS-2-69 (see Table 1) resist film after various processing conditions. (A) No exposure; (B) exposure dose: 1 mJ/cm², PEB: 160 °C; (C) exposure dose: 23 mJ/cm², PEB: 160 °C.

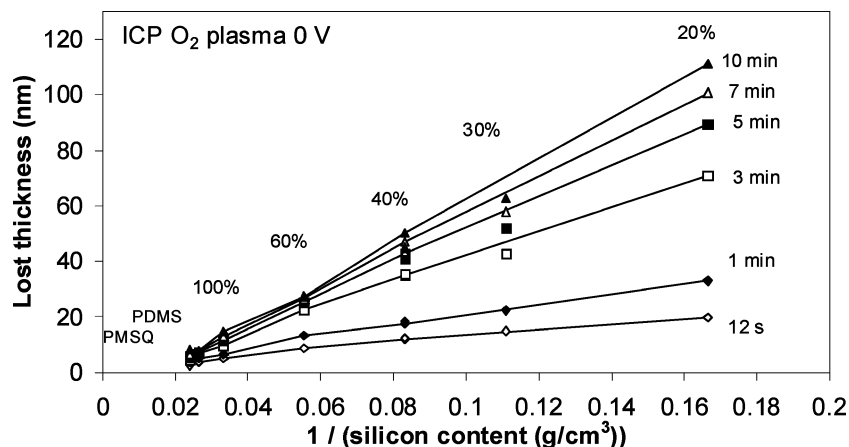


Figure 6. Thickness loss versus 1/silicon content, after various etching times in ICP oxygen plasma with 0 V bias. Comparison with poly dimethyl siloxane (PDMS) and poly methyl silsesquioxane (PMSQ).

of the corresponding carboxylic acid and a 100% anhydride formation. Attempts to dissolve this film prove that the anhydride-containing product is significantly less soluble to the standard tetramethyl ammonium hydroxide (TMAH) 0.26 N solution.

Second, the FT-IR spectra for the POSS containing films after various processing conditions are presented in Figure 5. The copolymer used in AC-POSS-2-69 (see Table 1) resist formulation was comprised of e-POSS, TBMA, MA, and IA. The obtained spectra, even after the prebake step (160 °C, 2 min), are more complicated than the PTBMA spectra due to the initial presence of three different types of C=O stretch vibrations. For example, in spectrum A (Figure 5) the TBMA C=O peak appears at 1724 cm^{-1} and the MA C=O appears as a broadening of the ester peak to smaller wavelengths while the IA C=O can be identified by the twin peaks at 1782 and 1860 cm^{-1} . Spectrum B shows the same material after exposure and bake. The dose was 1 mJ/cm^2 , corresponding to the lithographic useful dose. The spectrum shows a decrease of the ester C=O peak at 1723 cm^{-1} , while the carboxylic acid C=O peak, produced at 1708 cm^{-1} after the *tert*-butyl ester deprotection, appears, similar to spectrum B in Figure 4 (product I in Scheme 2). For the longer exposure time (23 mJ/cm^2 , spectrum C) two new bands at 1806 and 1764 cm^{-1} attributed to the formed anhydride bonds by neighboring acid functionalities appear (products II and III in Scheme 2). DRM results prove that the dissolution rate of the highly exposed AC-POSS film (spectrum C) is significantly lower than the rate of the film exposed with the lithographically useful dose (spectrum B).

FT-IR spectroscopy is an effective tool in monitoring the chemical reactions during acid-catalyzed imaging. Carboxylic acid is formed for lithographically useful doses in POSS containing films during the ester deprotection. In a more detailed examination of spectra C in Figures 4 and 5, we notice that, in POSS containing films, the carboxylic acid C=O peak (1708 cm^{-1}) has weakened significantly while the anhydride peaks (1764 and 1806 cm^{-1}) are well-resolved. It seems that, for similar bake temperatures, the deprotection reaction proceeds to anhydride formation in POSS containing films to a higher extent than in the case of PTBMA films, even though for the POSS material the exposure dose was almost 10 times lower than that for PTBMA. It is suggested that the POSS cages in the resist matrix

favor the neighboring of the deprotected -COOH groups and, thus, the intra- or intermolecular anhydride formation at milder baking conditions. However, no negative tone behavior has been observed for doses up to 30 mJ/cm^2 (see section 3.3)

3.2.2 Etching of POSS Copolymers in an Oxygen Plasma. Cyclopentyl-substituted POSS containing copolymers show less etch resistance and higher surface roughness compared to ethyl-substituted copolymers. At only 20% overetching the surface roughness of the cp-POSS was ~ 15 nm (rms value) while, on the contrary, e-POSS films showed only ~ 0.3 -nm surface roughness. This signifies that e-POSS films are appropriate for high-resolution imaging where small roughness is necessary. The reason for this difference might lie in the inherent POSS morphology: it is possible that during etching cp-POSS is stripped by the surrounding organic cyclopentyl groups and, thus, the larger distance between the cp-POSS units compared to the e-POSS units prevents the formation of a smooth oxide surface.

The etching of e-POSS copolymers containing various contents of e-POSS monomer, and hence various silicon contents, was studied with in situ spectroscopic ellipsometry and laser interferometry. A series of POSS copolymers containing 20% to 100% w/w e-POSS was etched and compared with poly methyl silsesquioxane (PMSQ) and cross-linked poly dimethyl siloxane (PDMS). Polymers containing 30% or higher w/w (i.e., 9% Si content) e-POSS cages provide the necessary etch resistance as well as low surface roughness to oxygen plasma etching at 100-nm film thickness.²² In addition, the etch resistance of the fluorinated polymer (30% w/w e-POSS) is adequate and similar or even better to the etch resistance of the nonfluorinated polymer.^{39,40}

The thickness loss is a strong function of the POSS content and decreases as the POSS content increases as shown in Figure 6. At each etching time we observe a linear relation between the lost thickness and the inverse of silicon content in the material. In addition, all polymers exhibit a very fast initial thickness loss (large etching rate), followed by a much slower etching

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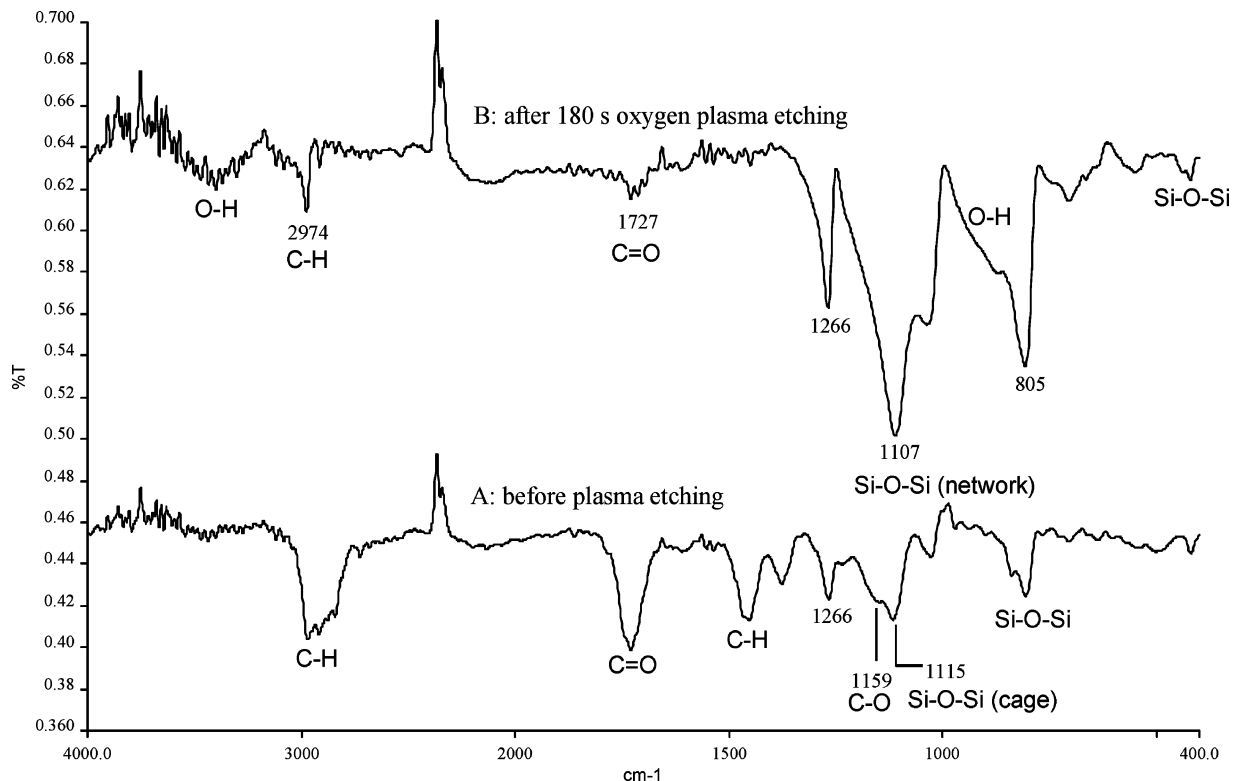


Figure 7. (A) Copolymer consisting of e-POSS, TBMA, MA, and IA before plasma etching (film thickness: 147 nm on 350-nm hard baked novolac); (B) copolymer consisting of e-POSS, TBMA, MA, and IA after 150 s of oxygen plasma etching (film thickness: 70 nm). In both spectra the novolac spectrum has been subtracted.

rate. Although for PMSQ, PDMS, and 100% e-POSS the etching rate seems to stabilize to constant values, for smaller POSS contents the etching rate is higher and constantly decreases. The first fast thickness loss is attributed to etching of the organic groups from the film surface, while the subsequent etching rate reduction is attributed to oxide sputtering and the densification to form an oxide. A similar mechanism has been proposed for PDMS; however, more studies are necessary to determine the surface oxide layer thickness for POSS materials.⁴¹

FT-IR spectroscopy has been used for detecting the formation of the SiO_x layer during the oxygen plasma etching. Figure 7 shows the FT-IR spectra of a copolymer (components: POSS, TBMA, MA, IA) before etching (spectrum A) and after 150 s of etching (spectrum B). The following peaks exist in spectrum A and become significantly weak after oxygen-plasma treatment (spectrum B): 2970 cm⁻¹, attributed to C-H stretching; 1454 and 1371 cm⁻¹ to C-H bending; 1734 cm⁻¹ to C=O stretching; 1156 cm⁻¹ to C-O stretching. On the other hand, peaks that become predominant in spectrum B are the peaks corresponding to the silicon-containing part of the copolymer, that is, at 1112 cm⁻¹ corresponding to Si-O-Si asymmetrical stretch, the peak at 805 cm⁻¹ assigned to Si-O-Si bending, and the peak at 450 cm⁻¹ assigned to Si-O-Si wagging.⁴² The slight shift (~6 cm⁻¹) of the Si-O-Si stretching peak to lower wavelengths as well as the shoulder band around 1138-

1249 cm⁻¹ suggests the transformation of the cage structure to a network structure.⁴³ The wide bands between 3100 and 3700 cm⁻¹ (O-H stretching) and 857-993 cm⁻¹ (O-H out-of-plane deformation) as well as the peak at 1266 cm⁻¹ (O-H bending; also Si-C stretching) could be attributed to vibration modes of Si-OH or/and some adsorbed moisture.⁴⁴ These data point to a strong oxidation of the POSS layer and formation of an oxide-like surface. Notice that C-H, C=O, and Si-C bonds still exist to a certain extent after oxygen plasma since oxide resides only on the surface, although Si-C could be present due to residues of organic fragments. These results have also been confirmed by XPS analysis.

3.3. Lithographic Evaluation. As for other acrylate-based systems, typical lithographic properties, that is, development behavior, developer strength, and imaging capabilities, depend strongly not only on the particular molecular structure of the POSS moieties but also on the copolymer composition. AC-POSS resists (see Table 1) show homogeneous development and provide materials with good film-forming properties, and high sensitivity at 157 nm (1-10 mJ/cm² under open field exposure). Positive tone imaging at 157 nm was obtained. Negative tone behavior has not been observed even at high doses (doses up to 10 times higher than the clearing dose have been examined). At present, due to the incorporation of MA in the copolymer formula, low-strength developers are necessary.

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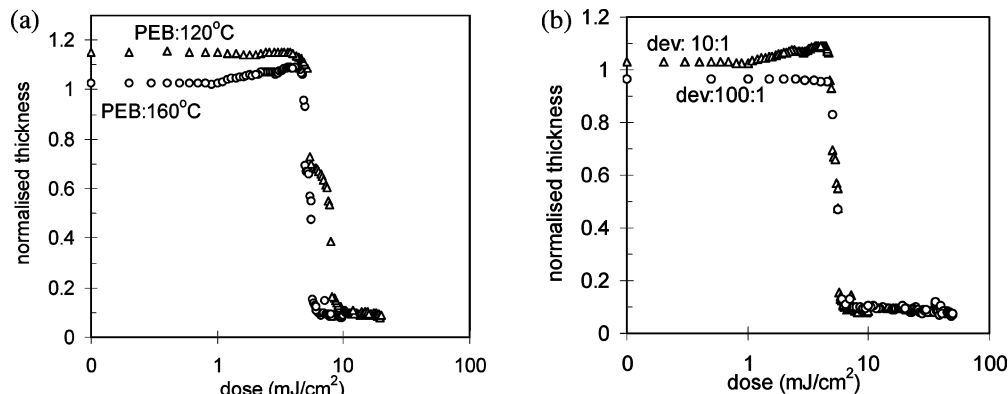


Figure 8. Contrast curves of resist AC-POSS-71. (a) Effect of PEB temperature on swelling (developer dilution 1:10, PB: 160 °C). (b) Effect of development conditions on swelling (PEB: 160 °C).

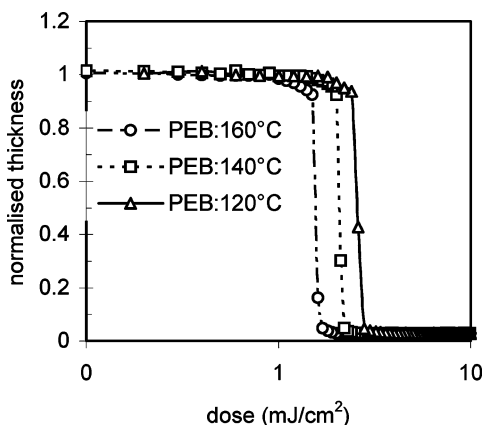


Figure 9. Contrast curves of resist AC-POSS-2-83 showing the effect of PEB temperature on resist's sensitivity (developer dilution 1:150, prebake: 120 °C).

157-nm evaluation of the POSS containing copolymers was carried out at Resist Test Center at International Sematech. Phase shift masks were used for high-resolution experiments. Four AC-POSS resists were evaluated, namely, AC-POSS-2-69, AC-POSS-2-71, AC-POSS-2-83 (see Table 1), and AC-POSS-2-106 (composition: 40% w/w e-POSS, 40% w/w TBTFMA, and 20% w/w MA). For all resist formulas PAG content was 5% w/w. The films evaluated were approximately 100-nm thick. Prebake and PEB temperature varied between 120 and 160 °C. Diluted developer solutions (MF CD 26, Shipley) were used for all resists, usually 100:1 H₂O/MF CD 26% v/v or more dilute. In most experi-

ments the development conditions (developer concentration and time) were nonoptimized.

In Figure 8a the contrast curves of the AC-POSS-2-71 resist for two different PEB temperatures are presented. Upon comparison of the two figures, we notice the effect of PEB temperature on resist lithographic performance. At high PEB temperatures (160 °C), the resist contrast is improved and the swelling is reduced (notice that at 120 °C the normalized thickness is higher than 1.0). For unexposed film areas or for areas that have received very low doses, 160 °C PEB temperature has the same effect as a prolonged PB step (see also data in ref 40). Swelling is also affected by the development conditions (Figure 8b). For strong development conditions (developer dilution 1:10), swelling takes place while, for more optimized development conditions (developer dilution 1:100), no swelling is observed.

However, for the fluorinated resist AC-POSS-2-83, as shown in Figure 9, no effect of PEB temperature on swelling or on contrast was observed, presumably due to the inherent morphology of the sample. The improved contrast as well as the high sensitivity are attributed to the constructive partnership between POSS cages and fluorinated components. To our knowledge, POSS cage compatibility with the fluorine-containing groups is demonstrated for the first time.

Lithographic evaluation is shown in Figure 10 for AC-POSS-2-69 and AC-POSS-2-71 and in Figure 11 for the fluorinated resists. For high-resolution exposures alternating phase shift masks were used. The results

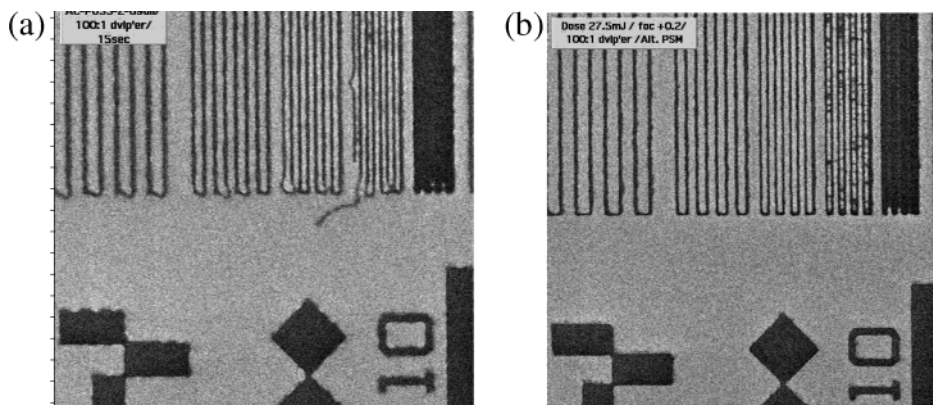


Figure 10. (a) Resist AC-POSS-2-69 showing resolution: 100 nm, l/s 1:1.5 (dose: 27.5 mJ/cm²). (b) Resist AC-POSS-2-71, resolution: 100 nm, l/s 1:2 (dose: 9.0 mJ/cm²).

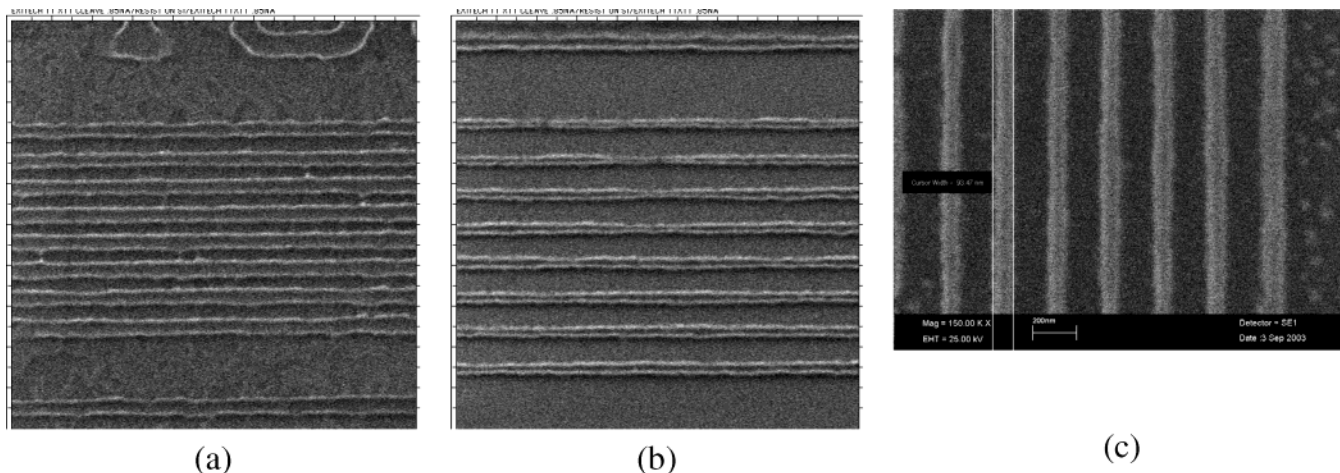


Figure 11. (a) Resist AC-POSS-2-83 showing resolution: 100 nm, l/s 1:1 (dose: 25.0 mJ/cm²). (b) Resist: AC-POSS-2-106, resolution: 100 nm, l/s 1:1.5 (dose: 24.0 mJ/cm²). (c) Resist AC-POSS-2-106 after partial dry development of the underlayer with pure O₂ plasma. Resolution: 90 nm, l/s 1:1.5 (dose: 20.5 mJ/cm²).

show the potential of the platform, although further optimization is necessary. On the other hand, first pattern transfer attempts have shown the feasibility of POSS-based copolymers as bilayer resists (Figure 11c).

4. Conclusions

Copolymers bearing polyhedral oligomeric silsesquioxane (POSS) pendant groups with optimized monomer composition provide materials with good film-forming properties and high sensitivity at 157 nm (1–10 mJ/cm² under open field exposure). Selection of suitable monomers and optimized ratios are necessary for the avoidance of undesirable segregation phenomena. Process studies reveal a strong influence of thermal processing conditions and development concentrations on swelling of unexposed and underexposed resist areas. High-resolution patterning under these conditions has

shown potential for sub-100-nm lithography. Partial fluorination can improve substantially absorbance properties as well as the lithography contrast at 157 nm, with no degradation of film physicochemical properties and related lithographic performance. On the other hand, pattern transfer studies have shown that 100-nm-thick films of POSS-containing materials provide the necessary oxygen plasma resistance for use as bilayer resists.

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